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Atty Docket No.: 1345.101.US

In re the PATENT application of

Stephen M. Dubovsky

Examiner: Unknown

Serial No.: 10/664,940

Group Art Unit: 2838

Filed: September 22, 2003

For: Grid-Connected Power Systems Having Back-up Power  
Sources and Methods of Providing Back-Up Power in  
Grid-Connected Power Systems

**MAIL STOP: PATENT APPLICATION**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

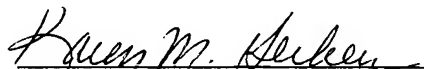
Transmitted herewith are the following documents for filing in the subject patent  
application:

Information Disclosure Statement;

List of References Cited by Applicant(s) (FORM PTO 1449); and

One copy each of fifteen (15) U.S. patents and two (2) publications.

Respectfully submitted,

  
Karen M. Gerken  
Registration No. 31,161

Hand-delivered: 12-22-03



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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INFORMATION DISCLOSURE STATEMENT

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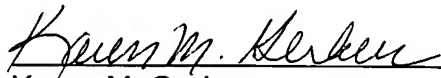
Pursuant to the duty imposed by 37 C.F.R. §1.56 to disclose information which may be material to the above-identified patent application, the Examiner's attention is directed to the documents listed on the enclosed Information Disclosure Statement. Copies of the documents listed are enclosed herewith.

Since this Information Disclosure Statement is being filed in compliance with 37 C.F.A. §1.97(b), within three (3) months of the filing date of the above-identified application and/or prior to the mailing date of the first Official Action, no fee is required in connection with its filing.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant

does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Respectfully submitted,

A handwritten signature in cursive script, appearing to read "Karen M. Gerken", is written over a horizontal line.

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FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)  
(Use several sheets if necessary)

Date Submitted to PTO:

ATTY DOCKET NO. 1345.101.US

SERIAL NO. 10/664,940

APPLICANT Stephen M. Dubovsky

FILING DATE 09/22/2003

GROUP 2838

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	3,419,779	08/09/1965	Zehner			
	3,600,599	08/17/1971	Wright et al			
	5,222,117	06/22/1993	Sakakihara			
	5,493,155	02/20/1996	Okamoto et al			
	5,522,944	06/04/1996	Elazari			
	5,592,074	01/07/1997	Takehara			
	5,716,442	02/10/1998	Fertig			
	5,929,538	07/27/1999	O'Sullivan et al			
	6,344,985	02/05/2002	Akerson			
	6,353,304	03/05/2002	Atcitty et al			
	6,370,050	04/09/2002	Peng et al			
	6,448,489	09/10/2002	Kimura et al			

## FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT

## OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

		Advanced Energy, Inc. publication "Grid-Connected MultiMode Power Conversion System", 2-pages.
		Advanced Energy, Inc. publication "GC-1000 1kW Grid-Connected Photovoltaic Inverter", and "AM-100 Inverter Monitor", 2 pages

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6,506,622	01/14/2003	Shiozaki			
	2003/0038713 A1	02/27/2003	Plude et al			
	2003/0072977 A1	04/17/2003	Speranza et al			

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